Nanoscience in Adolescence

George M. Whitesides

Department of Chemistry and Chemical Biology

Harvard University

gwhitesides@gmwgroup.harvard.edu

Change: Where does it come from? What Is Invention? Innovation?

•The "tea-kettle problem:" Why is the water hot?



Where does change come from?

•The "tea-kettle problem:" Why is the water hot?

- The kinetic energy of the water molecules is high (scientific)
- The kettle was on the stove (historical)
- Because I wanted tea (intentional)

"What *is* innovation?"



How does a business exploit a new idea?

- Inventing fundamentally new products (U.S.)
- Developing better products, and engineering better ways of making them (Europe, Korea, Japan)
- Using inexpensive labor and low-cost capital to make lowest-cost products (China, India)



The Capitalist Window on Change: Time and Risk-Discounted Cash Flow



All New Technologies: A Short, Qualitative History



Take-home (my opinion) for Nanoscience and -technology: Past History, Future Opportunity

- Past: Structure
- Future: Function (*aka:* jobs and competitiveness)
 - Support for nanoelectronics and information tech.
 - Commodity Infrastructure: energy, water,
 - Heterogeneous Catalysis
 - Environment: CO₂, albedo management, ...
 - Biomedicine: fundamentals of functional structures, imaging, plants
 - Bioanalysis; nanotoxicology

At the Beginning: Expectations for Nano

- Applied Quantum Phenomena
 - Quantum computing
- Futurist Speculations
 - Nanobots; The Assembler
- Revolutionary Materials
 - Buckytubes and the space elevator
 - Quantum Dots
- Revolutionary electronics
 - Single-molecule transistors
 - Ultradense computers
- Biomedicine
 - "Nanotherapeutics"
- Risks:
 - Nanobots, etc
 - Nanoparticle Toxicology



Drexler C&EN 2003





What is "nanoscience/technology"?

- No universal definition
- Dimensions are "small" (< 50 nm? < 20 nm?). In popular terms "too small to see" (< 10 μm)
- "Between molecules and macroscopic matter"
- New properties, especially room-temperature quantum behavior (quantum dots)
- Classical technology, but smaller than current technology
- "What I do" (whatever that is)

Nano*technology* is *here and highly developed:* Integrated circuits, memory, ...



Current best commercial CMOS technology has 22- to 40-nm design rules

"End of Moore's Law" may be as small as < 10 nm

("Small" is no longer the problem: heat dissipation, power management is. Leakage, etc soon will be.)

New properties of materials (e.g., phase change, electron polarization) may be more interesting than "small"

Reality: *Evolutionary* nanoelectronics will extend to 8 – 40 nm (expensively) using light

Reality is almost unbelievable technological development



Phase-shifting masks





Chemical mechanical polishing



Reality: New materials, but slow development of applications

 Buckytubes and maybe Graphene



Quantum dots



M. Bawendi

- Other small particles
- (Nanoscale matter: grains, Interfaces, Debye layer, etc.)



Xu et. al. Nano Lett. 2004

Reality: Biomedical--Progress, but focused on conventional "high-technology" model

Medical Diagnostics

- Labeling
- Imaging
- Analyzing

Therapy

- Hydrophobic Nanoparticles
- Transportation
- Controlled Release



Carbon nanotubes Quantum dots Magnetic nanoparticles Metal nanoparticles

Challenges

- Volume-, tissue-, or cell-specific targeting
- Remote guidance and activation
- Safety Issues biocompatibility and toxicity

Nanoparticles: MRI Contrast Agents



MRI = magnetic resonance imaging

Magnetite T. Hyeon Seoul National Univ.



A number of areas are approaching reality

- Buckytubes; graphene for electrically conductive plastics, perhaps electrochemical storage
- Low-cost methods of nanofabrication: printing, molding, others for consumer electronics, photovoltaics
- Optical systems for new optical effects, solid-state lighting, displays
- Nanoparticles/rods/cups/whatever with defined shapes for barcoding/tracking; delivery of hydrophobic drugs
- Nanometrology for industrial processing

Some Current Areas of Research: Examples in Fabrication

- Printing/molding
- Phase-shifting lithography
- 3D structures and Self-assembly
- Nanoskiving
- Holes
- Optics

Nanofabrication: Unconventional Approaches

- Many applications require methods alternative to ebeam and photolithography: Lower cost and different attributes
 - Circumventing diffraction limits in photolithography
 - Nontraditional materials & substrates
 - Reducing cost (capital and operating)
 - Increasing access (e.g., "dirty cleanroom" technology)
 - 3D, curved surface, flexible etc structures

Molding & Printing

• Replace "mask" with "stamp" ("proximity" \rightarrow "contact")

Step-and-flash nanoimprinting





Step 2: Dispense drops of liquid imprint resist



Step 3: Lower template and fill pattern



Step 4: Polymerize imprint fluid with UV exposure



Step 5: Separate template from substrate

Isolated particles w/ low-γ_{SL} stamp





Non-wetting Substrate

Isolated Particles DeSimone & coworkers JACS 2005

Discrete TiO₂ pillars



DeSimone & coworkers Adv. Mater. 2008

Willson & coworkers Macromolecules 2008

KDP Crystal Replica Molding: Replication of Single Layers of Ions. Metrology?





"Macro steps" 5-15 nm in height, from the bunching of ~10-40 atomic steps

Flash Memory Device Layer





Phase-Separated Copolymers

 Use photo-generated chemical patterns to direct block copolymer morphology





Stoykovich & Nealey Mater. Today 2006

- Circumvents diffraction limits: physics limit < 0.01 nm; chemistry limit (granularity of matter) not understood
- Anomalously high resolution (<0.5 nm on open benchtop)
- 3D; eliminate process steps
- potential for roll-to-roll manufacturing: *radical* reduction in costs
- non-planar surfaces (soft lith; stretchy silicon)

If this works, it saves more than 100 unit process steps from the manufacturing of a modern microprocessor and provides a cost saving of 20-50% (per Infineon and SEMATECH. And Willson)



3D Nanostructures

 Tandem nanoimprint & phase-shift lithography yields 3D periodic structures with single imprint



Self-Assembly: Opals & Inverse Opal Photonic Crystals



Nanoholes: Optofluidic Microscope

- Slanted nanohole array
- System resolution 490 nm





Transmission Microscope Image of the OFM

X. Heng, D. Erickson, L. R. Baugh, Z. Yaqoob, P. W. Sternberg, D. Psaltis, and C. Yang, Lab on a Chip 6, 1274 (2006).

X. Cui, X. Heng, J. Wu, Z. Yaqoob, A. Scherer, D. Psaltis, and C. Yang, Optics Letters 31, 3161-3163 (2006).



Nanoskiving

n. The use of an ultramicrotome for nanofabrication



Xu et al. Acc. Chem. Res. 2008

Gold Nanowires Generated by Sectioning Using a Microtome







100 nm wide 10 nm high [↑] 100 nm

nanowire on its side

Fabrication of Complex 3-D Nanostructures



Template from diffraction gratings

Template from periodic lines

Fabrication of Patterned Metallic Nanostructures over Large Areas





View at an angle 35°



Nanoskiving Capabilities





Optical Properties





Some other Observations

- Nanotechnology now seen as a *unifying* field. Technologically useful? Yes. Technologically revolutionary? Not yet.
- The issues now are *function* and *cost*.
- For many important potential functions, we do not know the rules. Heterogeneous catalysis, Nanotoxicology, Aerosols/albedo, Quantum isolation, Charge storage/transport/ interconversion, ...
- We should answer the question: "Where does "nano" –rather than "micro" or just "small"—bring unique function or properties or behavior or cost?

And...there is always competition

• Nano..

or/and

- Catalysis, solar, intelligent machines, stem cells (cancer, replacement), robotics, origin of life, global warming, sustainabilty, green,
- Jobs, economic competitiveness, costs/benefits of healthcare, "non-conventional conflict," immigration, outsourcing/globalization, climate change, energy, education...

In the U.S., "nano" is shifting to "applications" from "special emphasis"

The Umbrella Theory of Scientific Managment



- 1. "Inevitabilities"
- 2. Specific, Actionable Projects

Inevitabilities

- Areas where it is *certain* that society will need technology
- It may be too early to know what ultimate (or even initial) products are
- R&D should provide options, and early warning
- Examples: six (of perhaps 20)
- Keys to success:
 - Strategic selection, coupled with specificity
 - Critical mass in money, people
 - Patience (the www took 40 years; biotech still has not happened)
1. Commodity Infrastructure: Energy, Water

- Supply/Demand-side technologies.
- Honest, complete, accurate systems analysis
- Biotechnology—finally a use?
- Nanotechnology









Energy, Climate, Water, Sustainability

Technology provides options to society

Wellbeing
$$\approx \frac{Energy}{People}$$

Options.

- •Generate more energy
- •Conserve the energy we now generate.
- •Have fewer people

Constraints

• Energy and Climate

- Climate change may limit the combustion of fossil fuel

• Energy and Water

- Water production may become a major use of energy

- Energy and Nuclear
 - Weapons proliferation, reactor safety, waste management

Catalysis: The Oxygen Electrode

Kinetics of cathode reaction are much slower than the anode reaction and limit economic viability of low temperature fuel cells



alloying improve activity

Nørskov et al. J. Phys. Chem. B 108 (2004) 17886

Energy Conservation



Diesel Fuel

http://www.de.nec.d



Boeing 787. Approximately 50% carbon-epoxy composite. (Cost, autoclaves, damage, repair, electromagnetics/lightening,

Performance in Photovoltaics: Cost vs. Efficiency Tradeoff



NREL

2. Global Stewardship

- CO₂ and atmospheric management
- Population, "crowding", future megacities
- (Education)



"Geoengineering"

• Sulfuric Acid Sols

- Tambora (1815) and the "year without a summer"

- CO₂ for control
 - Inject CO₂ into atmosphere for "feedback" climate control





3. Information Technology

- Consumerization; globalization of information
- Education, Entertainment



4. Health Care

- Cost reduction: Prevention, Anticipation
- Developing world
- Is the patient important any more?





5. Building the Global Middle Class

- The fusion of capitalism and socialism, with a 50- to 100-year time-scale
- National Security: Conflict and nationbuilding



Middle class in India

6. Robotics: Replacing Human Labor





BigDog, Boston Dynamics

LittleDog, Boston Dynamics

http://www.bostondynamics.com/content/sec.php?section=BigDog http://www.bostondynamics.com/content/sec.php?section=LittleDog

A "Next Big Thing"

- Robotics: Changes the way we work. Work often defines what it is to be human.
- Investment:
 - Competition with low labor-rate competitors
 - Military
- Turing test

Robotics: Replacing Human Labor



Fundamental/Basic Science: The Cell



Systems: "What is life?"



Origin of Life: Peribiotic Earth



- Little/no? land
- Unformed continental plates
- Extensive vulcanism; geothermal activity; Mn/Fe/NiS colloids
- Cool, uv-rich sun
- Continuing impaction
- CO₂/N₂/H₂O atmosphere (~10 atm); overall redox neutral; large uncertainties in NH₄⁺, CH₄, O₂, ...
- Acidic, mildly reducing ocean; Fe⁺²
- "Pond scum"; in-fall from space + geosynthesis

Summary: Opportunities

- Nano has developed as integrative rather than revolutionary
- It is developing the tools needed to focus on function.
- Many connections to large-scale problems: Energy, water, climate change, health-care cost reduction, information technology
- Rich source of new materials and processes
- Tools and concepts for fundamental science, especially in catalysis, biology, charge-transport, optics, interfacial chemistry, materials science

For Nano and Nanochemistry, Fundamentals are Sound:

- Atoms/Molecules—"Nano"—Meso/Macro-scale Matter; the least-understood scale of matter.
- Charge transport (Energy generation/storage): occurs across nm-scale interfaces
- Assemblies in the cell are nanoscale
- Heterogeneous catalysis: "catalysis by design" must involve nano for empiricism to science
- Surface science is nanoscale, and ubiquitous: electrochemistry, plasmonics, membrane separations (RO/H₂O, CO₂, CH₄), fuel cells/batteries/capacitors, solar cells
- The environment: Micro/nanoparticles, aerosols
- New materials and characterization: Graphene, nanorods, quantum dots, nanoresists, photovoltaics...SPM, tribology, corrosion, grain boundaries, phase-change materials

Some Generalizations about Nano: "Then" and "Now"

• Then

- Generally: structure
- "New" nanoelectronics
- Nanosensors
- Atomic/molecular-scale visualization
- "Nanomedicine"
- The cell
- "Nanobots"; the "selfassembler"

• Now

- Search for Function (Saalfeld Criterion)—energy, consumer electronics, optics..
- Materials (graphene,..)
- Nanoelectronics (materials support)
- Energy/sustainability/ climate/energy storage...
- Search for applications in biomedicine (NIH, VCs): imaging; research; environmental nano
- Search for a lead in heterogeneous catalysis

Acknowledgements

- Darren Lipomi
- Roger York
- Sindy Tang
- Audrey Ellerbee (Stanford)
- Zhihong Nie
- Ben Wiley (Duke)
- Qiaobing Xu
- Michael Dickey (U. North Carolina)
- Rob Rioux (Penn State)

Six big ideas

- 1. Applications: Electronics, Energy, Water
- 2. New materials
- 3. Information/globalization
- 4. The cell, biology, and medicine
- 5. Single atoms and molecules
- 6. Quantum phenomena, both fundamental and applied

Opportunities: Lateral Transfer Electronics → Chemistry

- Phase-shifting and immersion optics
- Spin-coating
- Resists and thin films
- Chemical vapor deposition
- Chemical mechanical polishing
- Si on oxide
- High K materials





Opportunities: Lateral Transfer Chemistry → Electronics

- Nanomolding
- Buckytubes/nanotubes



- High h+ mobility materials
- Phase-change materials for electronics
- Thin films; CVD

Opportunities: Energy

- Catalysis
- Materials
- Band-gap Engineering
- Separations

Energy: Thermal (high T is good) Electrochemistry ($P = i^2R = IV$) Conservation

Light weight, strong, corrosion resistant



Efficient Use of Energy



Diesel Fuel

http://www.de.nec.de/

Materials in Energy

Nanotechnology may have many roles in energy challenge

Multifunctional 3D nanoarchitectures for energy storage and conversion[†]

Debra R. Rolison,^{*a} Jeffrey W. Long,^{*a} Justin C. Lytle,^a Anne E. Fischer,^b Christopher P. Rhodes,^c Todd M. McEvoy,^d Megan E. Bourg^a and Alia M. Lubers^a



Chemical Society Reviews, 2009

Is it Possible to Design Catalysts?

Tuning surface electronic structure of nanoparticle surface by alloying leads to improved reduction kinetics – Aided by *surface science* and *nanoscience*



Nanoscience: Enabling Catalysis by Design

Particle size and shape is critical for activity and selectivity



30.0 nm

M. Valden et al. Science 281 (1998) 1647.

•Relatively inert Au becomes active once the particle size reaches an optimal diameter.

•Methods of surface science are still critical for understanding how catalyst operates, but the complexity of real catalysts must be integrated into model catalyst design

30.0 nm

Supported Nanoparticles to Catalyze Reduction of O₂ in Proton Exchange Membrane Fuel Cells



(doped perfluorocarbon)

Nanostructured Photovoltaic Cells

- Solar materials
 - Cheap semiconductors (organics, nanocrystals, etc.) have poor exciton and/or carrier lifetimes
 - Nanostructuring is essential



Opportunities: Functional Materials

Nanotubes, Nanowires and Nanoparticles:

- Electronic & optical properties
 - Low defects
 - Metamaterials
 - Quantum confinement
- Mechanical properties
 - Much different than bulk materials
- Thermal properties
 - New forms of conductivity (diamond, graphite, aerogels)
- Chemical properties
 - Exploiting high surface-to-volume ratios
Opportunities: <u>**Optics, especially for IT</u>**</u>

Nanochemistry is developing alongside nanooptics

- Optical systems
 - More defect-tolerant than electronics
- New science
 - Plasmonic waveguiding
 - Single-photon sources and detectors
- New synthetic challenges
 - 3D fabrication
 - High-quality nanocrystals



Two-Photon Woodpile Crystal B. H. Cumpston *et al., Nature* **398, 51 (1999)**

Opportunities: Biology and Biomedicine

Learning the Functions of Bionanostructures

Nature-provides examples of actuation, sensing, signaling, information processing, and intelligence at the nanoscale

blueprints



Ribosome = molecular assembler





functional product

ribosome

assembly line

Additional Material...

Quantum

Generation of single optical plasmons in metallic nanowires coupled to quantum dots





Chemical Control of Catalytic Particles

Design of Catalytic Nanostructures

A $\frac{1}{2}$ \frac

Size control

K. Niesz et al. Nano Lett. 5 (2005) 2238

Shape control

Pt cubes

Pt cuboctahedra

2 nm

Pt octahedra

H. Song et al. J.PC B 109 (2005) 188

Morphology control

Pt nanoparticle embedded in hollow CoO shell



The Oxygen Electrode

Kinetics of cathode reaction are much slower than the anode reaction and limit economic viability of low temperature fuel cells

> Cathode $4e^- + O_2 + 4H^+ \xrightarrow{slow} 2H_2O$ reaction:

Free-energy diagram for O₂ reduction on Pt





oxygen binding energy

Volcano relationship between activity and oxygen binding energy suggest <u>alloying</u> improve activity

Nørskov et al. J. Phys. Chem. B 108 (2004) 17886

Challenges

- Defect-free assemblies
- Large-area assemblies
- Fine-tuning of interparticle spacing
- Precise manipulation of assemblies

Vital to applications in optics and electronics

Need Solutions

- A combination of 'bottom-up' and 'top-down' methods
- Nature-developed approaches (biological templates)
- New opportunities?



- Binary digit ("bits") replaced by a two-level quantum system ("qubits") allowing for infinite superpositions of states
- Quantum operation could compute not just on one machine state at once!
- Factoring a 100-digit number

Factoring a 400 digit number would take 10¹⁰ years with today's fastest computers, but only 3 years with quantum computers!

Conclusions

The most important problems in nanoscience depend on chemistry *New* chemistry is essential

One final thought: *Risk*

Problem	Yes	Νο	
Important	+++	+	
Unimportant	_		



K. Aoki *et al., Nature Materials* **2 (2), 117 (2003)**

10 µm

Two-Photon Woodpile Crystal [B. H. Cumpston et al., Nature 398, 51 (1999)]



Holey fibers

nonlinear fibers



endlessly single-mode

[T. A. Birks et al., Opt. Lett. 22, 961 (1997)]



[Wadsworth *et al.*, JOSA B 19, 2148 (2002)]



[figs courtesy Y. Fink et al., MIT]

Photonic crystal structural uniformity, adhesion, physical durability through large temperature excursions

-1 um

— 10 μm



polarization -maintaining

[K. Suzuki, Opt. Express 9, 676 (2001)]

> white/grey = chalco/polymer

100 µm

Optical Properties of Metal Nanostructures



Gold Nanorods (red) and 60nm Gold Nanospheres (green) under Dark-field Illumination

From: Sonnichsen et al, Phys. Rev. Lett., vol. 88, Ad. 7, pp. 077402 (2002)

Negative index materials

Science 292, 77 (2001)





Optics letters, 30, 24, 3356 (2005)



S. Linden, C. Enkirch, M. Wegener, J. Zhou, T. Koschny, C. M. Soukoulis, *Science* **2004**, *306*, 1351.



- Structure-reactivity relations
- Catalyst-support interactions
- Poisoning and Activation
- Stability
- Mass transport



Berkeley, CA: www.nrel.gov

Nanotechnology in Energy

A stack of 36 PEM fuel cells

Schatz Energy Research Center: www.humboldt.edu/~serc/anim ation.html



Plasma Display:

http://www.de.nec.de/pressfiles /42vp4_plasma_display.jpg



Is it possible to design catalysts?

Tuning surface electronic structure of nanoparticle surface by alloying leads to improved reduction kinetics – Aided by *surface science* and *nanoscience*



Applications of Nanoskiving



Frequency-selective surfaces Xu et al. Nano Lett. 2007



Electrodes for electrodeposition Xu et al. *Nano Lett.* **2007**



Conducting polymer nanowires Lipomi et al. *Nano Lett.* **2008**



Single-crystal nanowire plasmonic waveguides Wiley et al. *Nano Lett.* **2008**



Organic photovoltaic device Lipomi et al. *Adv. Funct. Mater.* **2008**



Electrically addressable parallel nanowires Dickey et al. *Nano Lett.* **2008**

Templating

 Example: electrochemical growth of core-shell and segmented metallic and polymeric tubes in anodic alumina membranes







C. Martin

Lahav et al. Nano Lett. 2006

Applications of Self-Assembled Particles

- Sensing
- Chemi- and biosensors
- Plasmonic rulers
- Multifunctional carriers for delivery

Developing quickly

- Data storage devices
- Optoelectronic devices
- Plasmon waveguides
- Focusing lenses
- Light generators
- Optical switches
- Nanoelectronics

Developing slowly

Self-Assembly: Combining Top-down and Bottom-up

- Assembly in solutions "artificial molecules"
- Balance of attraction and repulsion forces
- Molecular recognition
- Assembly using templating methods
- Hard template: nanotubes, nanowires, nanofabricated templates
- Soft template: synthetic polymers, proteins, DNA or viruses
- Assembly at interfaces
- Langmuir-Blodgett technique
- Sedimentation or evaporation-induced self-assembly
- Adsorption of nanoparticles
- Assisted assembly of nanoparticles
- Electric or magnetic fields
- Shear forces
- Light irradiation



• Influence of defects in organic monolayers on growth of biofilms



York et al. in prep

Holey Fibers



endlessly single-mode [T. A. Birks et al., Opt. Lett. 22, 961 (1997)]



[figs courtesy Y. Fink et al., MIT]

Photonic crystal structural . uniformity, adhesion, physical durability through



polarization -maintaining [K. Suzuki, Opt. Express 9, 676 (2001)]



http://ab-initio.mit.edu/photons/tutorial/L5-fiber.pdf





Biology: Proving Function at the Nanoscale

rotary motor



bacteria flagellum

electric motor



Particles for EM Heating



In air, gold nanocages melted under camera flash as the temperature was raised by 200 degrees. In water, the temperature increase was enough to kill breast cancer cells targeted by gold nanocage at a laser (I=820 nm) power density of 0.9 W/cm².

Live cells, calcein-AM stain



Dead cells, EthD-1 stain



— 100 nm

------ 500 μm Chen, Wang, Xi, Au, Siekkinen, Warsen, Li, Zhang, Xia & Li, *Nano Lett.* 2007

Nanoholes: DNA Sequencing

Detection of DNA Sequences Using an Alternating Electric Field in a Nanopore Capacitor

Grigori Sigalov,† Jeffrey Comer,†,‡ Gregory Timp,† and Aleksei Aksimentiev*,†,‡



Nano Letters, 2008

Nanooptics

Optical properties of metallic nanostructures



Gold Nanorods (red) and 60nm Gold Nanospheres (green) under Dark-field Illumination

¹⁰⁰ Sonnichsen et al, Phys. Rev. Lett., vol. 88, no. 7, pp. 077402 (2002)

The Cell

